

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of: Hardy et al. )  
Serial No.: To Be Assigned ) Before the Examiner:  
National Phase of PCT/GB00/01186 )  
International Filing Date )  
28 March 2000 ) Group Art Unit:  
For: Stop-Off For Diffusion )  
Coating )

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **PTO-1449 Pursuant to 37 CFR 1.97(b)**  
[within 3 months of filing or prior to 1st Office Action] N/C
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] N/C
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] \$240.00
- ☐ 4 **Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)**  
[before issue fee payment] \$130.00

Honorable Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

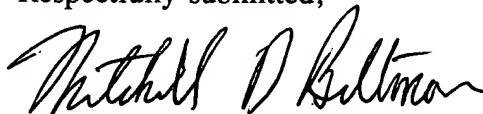
- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in item 14 below; and
- b) The required Certification is stated in item 11 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing of this Statement.

09/937545

JCO9 Rec'd PO 0000 26 SEP 2001

- ☐ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 19-4970. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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25 Sept 2001  
Date

09/937545

JC09 Rec'd PCT/PTO 26 SEP 2001

Sheet 1 of 1

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

CUK-051

To Be Assigned

## LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Hardy et al.

FILING DATE

Herewith

GROUP

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE    | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|-----------------|---------|-----------------|-------|----------|-------------------------------|
|                     | AA | 4 1 2 8 5 2 2   | 12/5/78 | Richard C. Elam | 260   | 32.8     |                               |
|                     | AB |                 |         |                 |       |          |                               |
|                     | AC |                 |         |                 |       |          |                               |
|                     | AD |                 |         |                 |       |          |                               |
|                     | AE |                 |         |                 |       |          |                               |
|                     | AF |                 |         |                 |       |          |                               |
|                     | AG |                 |         |                 |       |          |                               |
|                     | AH |                 |         |                 |       |          |                               |
|                     | AI |                 |         |                 |       |          |                               |
|                     | AJ |                 |         |                 |       |          |                               |
|                     | AK |                 |         |                 |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER | DATE   | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|--------|---------|-------|----------|-------------|----|
|  |    |                 |        |         |       |          | YES         | NO |
|  | AL | 2 0 0 8 6 2 1   | 6/6/79 | GB      | C23C  | 11/00    |             |    |
|  | AM | 1 2 9 8 8 3 0   | 7/3/69 | DE      | C23C  | 9/02     |             |    |
|  | AN |                 |        |         |       |          |             |    |
|  | AO |                 |        |         |       |          |             |    |
|  | AP |                 |        |         |       |          |             |    |

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |                                                                  |
|--|----|------------------------------------------------------------------|
|  | AR | DATABASE WPI, Section Ch, Week 198146                            |
|  |    | Derwent Publications Ltd., London, GB; Class M13, AN 1981-84937D |
|  | AS | XP002141086 & SU 804715A (S Ranches Mech Elec),                  |
|  |    | 15 February 1981 (1981-02-15) abstract                           |
|  | AT |                                                                  |
|  |    |                                                                  |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.